

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/889519

INTERNATIONAL APPLICATION NO.

PCT/JP00/00247

INTERNATIONAL FILING DATE

20 January 2000

PRIORITY DATE CLAIMED

20 January 1999

TITLE OF INVENTION

PROTEASOME INHIBITORS

APPLICANT(S) FOR DO/EO/US

Hiroyuki Yamaguchi, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 into English (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 into English (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Copies of: PCT Request (Form PCT/RO/101), Form PCT/IB/301; Form PCT/IB/304; Form PCT/IB/308; Form PCT/ISA/210; Form PCT/IB/338; Form PCT/IPEA/409.

U.S. APPLICATION NO. (If known, see 37 CFR 1.53) <b>09/889559</b>		INTERNATIONAL APPLICATION NO. PCT/JP00/00247		ATTORNEY'S DOCKET NUMBER 5.1190	
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EP or JPO ..... \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1)) ..... \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1)) but international search fee paid to USPTO (37 CFR 1.492(a)(2)) ..... \$710.00 Neither international preliminary examination fee (37 CFR 1.492(a)(1)) nor international search fee (37 CFR 1.492(a)(2)) paid to USPTO ..... \$1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(4)) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS		PTO USE ONLY	

  

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$			
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Claims	Number Filed	Number Extra	Rate		
Total Claims	76 - 20 =	56	X \$18.00	\$1008.00	
Independent Claims	1 - 3 =	0	X \$80.00	\$0.00	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$2137.00	

  

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
<b>SUBTOTAL =</b>				\$2137.00			

  

Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
<b>TOTAL NATIONAL FEE =</b>				\$2137.00			

  

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$40.00			
<b>TOTAL FEES ENCLOSED =</b>				\$2177.00			

  

				<b>Amount to be:</b>			
				refunded	\$		
				charged	\$		

  

a. ☒ A check in the amount of \$ 2177.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

  

SEND ALL CORRESPONDENCE TO:

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\_\_\_\_\_  
 SIGNATURE

Lawrence S. Perry  
 NAME

31,865  
 REGISTRATION NUMBER